

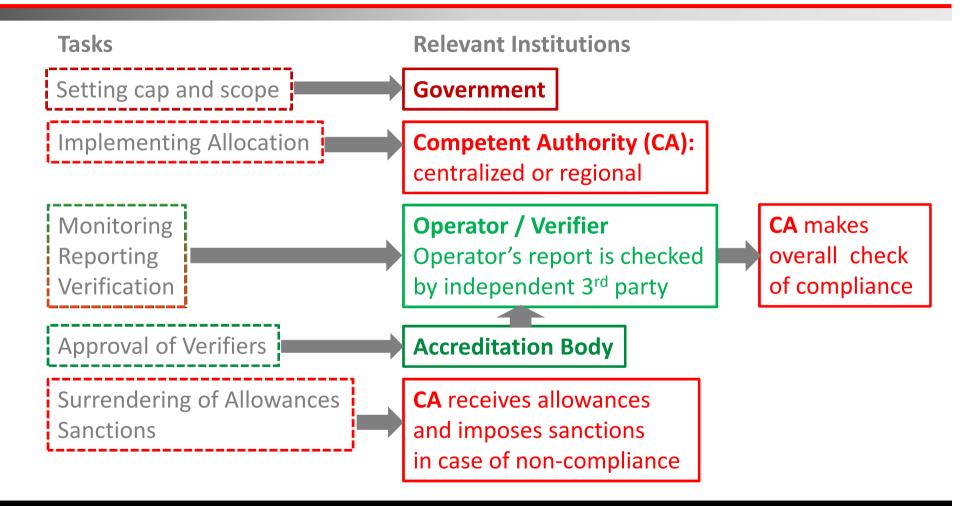
ETS GOVERNANCE – Case Studie: EU ETS / GERMANY

ETS ACADEMY MEXICO 23 – 25 July 2019, Mexico City

Alexander Handke Emissions Trading Division Federal Ministry for the Environment, Nature Conservation and Nuclear Safety Germany



EU ETS Institutional Framework Institutional Capacity Needs for an ETS





EU ETS Institutional Framework

Federal Ministry for the Environment Competent Authority (DEHSt)

Political oversight

- Formulation of laws and regulations
- Coordination with other Ministries
- Cooperation with interest groups and stakeholders
- Communication with the EU COM and participation in EU Working Groups and
 Climate Change Committee
- Supervising the Competent Authority
- International cooperation to build up national and regional ETS

Technical ETS implementation in Germany

- Allocation and issuance of emission allowances
- Approval of monitoring plans
- Assessment of emission reports, imposing of sanctions, if required
- Management of national installations and trading accounts
- Supervision of auctioning
- Approval and review of CDM and JI projects



EU ETS Institutional Framework

Structure of the German administration on the federal level





EU ETS Institutional Framework: DEHSt as part of the Environment Agency

Fachbereich V Dr. Jürgen Landgrebe					
Klimaschutz, Energie, Deutsche Emissionshandelsstelle (DEHSt)					
Abteilung V 1 Klimaschutz und Energie		Abteilung V 2 Industrieanlagen, Klimaschutzprojekte, Kundenservice und Rechtsangelegenheiten		Abteilung V 3 Energieanlagen, Luftverkehr, Register und ökonomische Grundsatzfragen	
Dr. Karsten Krause		Dr. Enno Harders		N.N.	
Fachgebiet V 1.1	Fachgebiet V 1.2	Fachgebiet V 2.1	Fachgebiet V 2.2	Fachgebiet V 3.1	Fachgebiet V 3.2
Klimaschutz	Energiestrategien und -szenarien	Stahlindustrie, Raffinerien, fachliche Datenkonzeptionen und zentrale Datenqualitätssicherung	Mineralverarbeitende Industrie, Papier-, Zellstoff-, Nichteisenmetall-, Rußindustrie	Energiewirtschaft	Chemische Industrie und industrielle Feuerungsanlagen
Juliane Berger	Dr. Benno Hain	Heike Leonhardt	Dr. Markus Kollar	Rainer Sternkopf	Lars Langefeld
Fachgebiet V 1.3 Erneuerbare Energien	Fachgebiet V 1.4 Energieeffizienz	Fachgebiet V 2.3 Kommunikation und Kundenservice	Fachgebiet V 2.4 Justitiariat Emissionshandel	Fachgebiet V 3.3 Ökonomische Grundsatzfragen des Emissionshandels, Monitoring, Auswertungen	Fachgebiet V 3.4 Registerführung
Carla Vollmer	Dr. Bärbel Westermann	Gladys Takramah	Juliane Steegmann	Christoph Kühleis	Dr. Thomas Schütz
Fachgebiet V 1.5 Energieversorgung und -daten Geschäftsstelle der Arbeitsgruppe Erneuerbare Energien-Statistik (AGEE-Stat)	Fachgebiet V 1.6 Emissionssituation	Fachgebiet V 2.5 Verfahrenssteuerung, Verifizierung, Finanzierung	Fachgebiet V 2.6 Klimaschutzprojekte – Nationale Zustimmungsstelle CDM / JI	Fachgebiet V 3.5 Informationstechnik, IT-Qualitätssicherung	Fachgebiet V 3.6 Luftverkehr
Marion Dreher	Michael Strogies	Holger Berg	Frank Wolke	Dr. Sebastian Uhlmann	Dr. Olaf Hölzer-Schopohl
Fachgebiet V 1.7					
Herkunftsnachweisregister für Strom aus erneuerbaren Energien					
m.d.W.d.G.b Elke Mohrbach			Standort: Haup	otsitz Dessau 🔲 Deutsche Er	nissionshandelsstelle, Standort: Berlin



EU ETS Institutional Framework: DAkkS as Accreditation Body for Verifiers

- German Accreditation Body: DAkkS
- Established under EU Regulation on Accreditation & Market Surveillance



=> one National Accreditation Body per Member State

- EU Monitoring Regulation requires Emission Reports to be verified by independent third-party verifiers
- EU Accreditation & Verification Regulation requires ETS
 Verifiers to be accredited and surveillanced



EU ETS Institutional Framework: EEX as Auctioning Platform

 European Energy Exchange (EEX) located in Leipzig (State of Saxonia) is an exchange/trading platform for Energy and Emission Allowances and other commodities



- Electricity producers covered by the EU ETS dont receive free allocation => electricity budget has to be auctioned
- Under the EU Auctioning Regulation EEX has been chosen to be the auctioning platform for the auctions of
 - European Commission* (on behalf of 25 Member States)
 - Poland
 - Germany



BaFin

EU ETS Institutional Framework Financial Oversight

General Financial Supervision (BaFin):

Supervision tasks on national level; implementation of the German Securities Trading Act (WpHG); economic analysis and data exchange with other European supervision bodies

Exchange Supervision (SMWA):



Specific oversight for stock exchange trading – supervision of the orderly conduct of exchange trading and the work performed by HÜSt



Market Surveillance (HÜSt)

Located in the exchange – monitors the trading and settlement of exchange transactions both in the primary and secondary market; economic analysis; algorithms to detect market abuse, money laundering



Thank you for your attention

Alexander Handke

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